



The Federal Funding Accountability and Transparency Act (FFATA) annual certification enumerated below represent material facts upon which DFPS relies when reporting information to the federal government required under federal law. If the Department later determines that the subrecipient knowingly rendered an erroneous certification, DFPS may pursue all available remedies in accordance with Texas and U.S. law. Signor further agrees that it will provide immediate written notice to DFPS if at any time Signor learns that any of the certifications provided for below were erroneous when submitted or have since become erroneous by reason of changed circumstances. ***If the signatory cannot certify all of the statements contained in this section, signatory must provide written notice to DFPS detailing which of the below statements it cannot certify and why.***

Enter your organization's Dun & Bradstreet (D&B) DUNS Number: 061519781

Enter the parent DUNS Number, if applicable:

Did your organization have gross income, from all sources, of less than \$300,000 in your previous tax year?

Yes No N/A (if entity does not generate income)

If your answer is Yes, skip Parts A, B, C, and D and complete Part E.

If your answer is No or N/A, complete Parts A and B.

PART A. Certification Regarding % of Annual Gross from Federal Awards

Did your organization receive 80% or more of its annual gross income from federal awards in the previous tax year?

Yes No

PART B. Certification Regarding Amount of Annual Gross from Federal Awards

Did your organization receive \$25 million or more in annual gross income from federal awards in the previous tax year?

Yes No

If your answer is Yes to both A and B, you must complete Part C.

If your answer is No to either A or B, skip Parts C and D, and complete Part E.

PART C. Certification Regarding Public Access to Compensation Information

Does the public have access to information about the compensation [17 CFR 229.402(c)(2)] of the senior executives (e.g., officers, managing partners, or any other employees in management positions) in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

Yes No N/A (if entity reports through some other means, state how:)
Annual Audited Financial Statements posted on the County Website

If your answer is Yes, skip Part D and complete Part E.

If your answer is No, you must provide compensation information to DFPS for FFATA reporting in Part D.

If N/A, you may still be required to supply compensation information pending DFPS or federal awarding agency approval. Skip Part D until requested by DFPS to supply compensation information and proceed to complete Part E.



PART D. Certification Regarding Executive Compensation

The Names and Total Compensation of the top five (5) executives if:

- More than 80% of annual gross revenues from the Federal government,
- Those revenues are greater than \$25M annually, and
- Compensation information is not already available through reporting to the SEC.

| Subrecipient Executive Names | Total Compensation |
|------------------------------|--------------------|
| | |
| | |
| | |
| | |
| | |

PART E. General FFATA Certification

As the duly authorized representative (Signatory) of the subrecipient named below, I hereby certify that the responses that I have provided to the questions in this certification form are true, complete and correct to the best of my knowledge.

Sydney Murphy

Printed Name of Authorized Representative

Signature of Authorized Representative

County Judge

Title of Authorized Representative

9/7/2020

Date

Polk County

Legal Name of Subrecipient

24728993

Agency Account ID Number

Livingston, Polk County

Principal Place of Performance (POP) (City, County)

TX

State

77351-3246

9-Character Zip Code (Zip +4)

Congressional District 36

POP Congressional District



THIS SECTION FOR DFPS USE ONLY

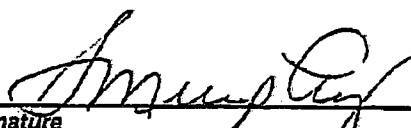
CONTRACT MANAGER INFORMATION

| | |
|---------------------------|---------------------------------|
| Name | Dawn Johnson |
| Division | Purchased Client Services (CPS) |
| Region | Region 5 |
| Phone | 936-569-5335 |
| Email | dawn.johnson@dfps.texas.gov |
| Date form received | |

CONTRACT INFORMATION

| | | |
|-------------------------------|---|-------------------------------------|
| Contract Number | HHS000285000012 | |
| Fiscal Year | 2022 | |
| Federal Funding Agency | U.S. Department of Health and Human Services | |
| CFDA # (s) | 93.658 | |
| Award No./FAIN | 2201TXFOST | |
| Contract Start Date | 10/01/2018 | Contract End Date 09/30/2025 |
| FY Contract Amount | \$4,730.16 | |
| SCOR Subject | General Goods and Services | |
| SCOR Purpose | DFPS Title IV-E (Child Welfare) Funded Services | |

**Budget for Title IV-E
County Child Welfare Services Contract**

| Summary | | | |
|---|--|---|--------------------------------|
| | | County: | Polk |
| | | Agency Account ID: | 24728993 |
| | | Budget Effective Date: | 10/1/2018-9/30/2019 |
| Cost Category | Estimated Total Expenses Allocable to Title IV-E | Total Anticipated Federal Reimbursement | Total Anticipated County Match |
| A. Administration | | | |
| A.1. Direct Personnel Salaries | \$0.00 | \$0.00 | \$0.00 |
| A.2. Direct Personnel Fringe Benefits | \$0.00 | \$0.00 | \$0.00 |
| A.3. Direct Personnel Travel | \$0.00 | \$0.00 | \$0.00 |
| A.4. Direct Materials and Supplies | \$300.00 | \$49.50 | \$250.50 |
| A.5. Direct Equipment | \$0.00 | \$0.00 | \$0.00 |
| A.6. Direct Other Costs | \$100.00 | \$16.50 | \$83.50 |
| Total Administration | \$400.00 | \$66.00 | \$334.00 |
| B. Training | | | |
| B.1. Title IV-E Training (75%) | \$0.00 | \$0.00 | \$0.00 |
| B.2. Title IV-E Fostering Connections Training (75%) | \$0.00 | \$0.00 | \$0.00 |
| B.3. Non-Title IV-E Training (50%) | \$0.00 | \$0.00 | \$0.00 |
| Total Training | \$0.00 | \$0.00 | \$0.00 |
| C. Supplemental Foster Care Maintenance (SFCM) | | | |
| Total SFCM | \$8,200.00 | \$4,664.16 | \$3,535.84 |
| D. Indirect Costs (if applicable) | | | |
| Indirect Cost Base | \$0.00 | \$0.00 | \$0.00 |
| Grand Total | \$8,600.00 | \$4,730.16 | \$3,869.84 |
| *Estimated Federal Reimbursement for expenses based on Eligible Population Rate (EPR) during 2nd quarter of the preceding fiscal year: | | | 33.000% |
| Actual reimbursement will be based on EPR in effect for the county during the month in which expenses were incurred. | | | |
| * Estimated Federal Reimbursement for Supplemental Foster Care Maintenance expenses based on Federal Medicaid Assistance Percentage (FMAP) rate in effect during preceding fiscal year: | | | 56.88% |
| Actual reimbursement will be based on FMAP rate in effect at the time reimbursement is made to contractor. | | | |
| Indirect Cost Rate, if applicable (attach a copy of the approved Certificate of Indirect Costs): | | | 0.000% |
| <u>Contractor Certification</u> | | | |
|  _____ Signature | | August 28, 2018 _____ Date | |
| Sydney Murphy Polk County Judge _____ Printed Name & Title | | | |

**Budget for Title IV-E
County Child Welfare Services Contract**

| Administration | | | | | | |
|--|----------------|---------------------------------------|-----------------------------------|--|--|-----------------------------|
| A.1. Direct Personnel Salaries | | | | | | |
| County: | | <u>Polk</u> | | | | |
| Agency Account ID: | | <u>24728993</u> | | | | |
| Budget Effective Date: | | <u>10/1/2018-9/30/2019</u> | | | | |
| Position or Title | A | B | C | D | E | F |
| | Monthly Salary | % of Time Spent on IV-E Activities | Number of Months of Service | Estimated Total Expense* (AxBxC) | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| | | | | \$0.00 | \$0.00 | \$0.00 |
| | | | | \$0.00 | \$0.00 | \$0.00 |
| | | | | \$0.00 | \$0.00 | \$0.00 |
| | | | | \$0.00 | \$0.00 | \$0.00 |
| | | | | \$0.00 | \$0.00 | \$0.00 |
| | | | | \$0.00 | \$0.00 | \$0.00 |
| Total Direct Personnel Salaries | | | | \$0.00 | \$0.00 | \$0.00 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

| Administration | | | |
|---|-----------------------------|--|-----------------------------|
| A.2. Direct Personnel Fringe Benefits | | | |
| | | County: | Polk |
| | | Agency Account ID: | 24728993 |
| | | Budget Effective Date: | 10/1/2018-9/30/2019 |
| Type of Fringe Benefits | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| Total Direct Personnel Fringe Benefits | \$0.00 | \$0.00 | \$0.00 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

| Administration | | | |
|---|---------------------------------|--|---------------------------------|
| A.3. Direct Personnel Travel | | | |
| | | County: | Polk |
| | | Agency Account ID: | 24728993 |
| | | Budget Effective Date: | 10/1/2018-9/30/2019 |
| Type of Travel Expense Note: only include travel <u>NOT</u> related to personnel training | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| Total Direct Personnel Travel | \$0.00 | \$0.00 | \$0.00 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

| Administration | | | |
|---|-------------------------------------|--|-------------------------------------|
| A.4. Direct Materials and Supplies | | | |
| | | County: | Polk |
| | | Agency Account ID: | 24728993 |
| | | Budget Effective Date: | 10/1/2018-9/30/2019 |
| Materials and Supplies (description) | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| Foster/Adoptive Recruitment | \$100.00 | \$16.50 | \$83.50 |
| Overhead expenses | \$200.00 | \$33.00 | \$167.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| Total Direct Materials and Supplies | \$300.00 | \$49.50 | \$250.50 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

| Administration A.5. Direct Equipment | | | | |
|--|--|-----------------------------|--|-----------------------------|
| County: <u>Polk</u> | | | | |
| Agency Account ID: <u>24728993</u> | | | | |
| Budget Effective Date: <u>10/1/2018-9/30/2019</u> | | | | |
| Equipment (description) | Method Used (rent/lease/ purchase) | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| | | | \$0.00 | \$0.00 |
| Total Direct Equipment | | \$0.00 | \$0.00 | \$0.00 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

| Administration | | | |
|--------------------------------------|-------------------------------------|--|-------------------------------------|
| A.6. Direct Other Costs | | | |
| | | County: | Polk |
| | | Agency Account ID: | 24728993 |
| | | Budget Effective Date: | 10/1/2018-9/30/2019 |
| Other Costs (description) | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| Citation by Publication | \$100.00 | \$16.50 | \$83.50 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| | | \$0.00 | \$0.00 |
| Total Direct Other Costs | \$100.00 | \$16.50 | \$83.50 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

| B. Training | | | | | | | | | |
|--|---|--|--|---|----------|-------------------------------------|--------------------------------|---|-----------------------------|
| B.1. Title IV-E Training (75%) | | | | | | | | | |
| County: | | <u>Polk</u> | | | | | | | |
| Agency Account ID: | | <u>24728993</u> | | | | | | | |
| Budget Effective Date: | | <u>10/1/2018-9/30/2019</u> | | | | | | | |
| Training (Description and Title) | Registration* (amount allocable to Title IV-E) | Lodging* (amount allocable to Title IV-E) | Meals* (amount allocable to Title IV-E) | Transportation* (amount allocable to Title IV-E) | Subtotal | Number of Employees Attending | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 75% FFP) | Anticipated County Match |
| NOTE: Form 9921 Training Expense Documentation Form must be submitted to DFPS for review/approval by Federal Funds prior to training. | | | | | | | | | |
| None at this time. | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| Total Training | | | | | | | \$0.00 | \$0.00 | \$0.00 |

* estimated amount allocable to Title IV-E

Note: Please refer to Title IV-E Finance Handbook for detailed information regarding allowable expenses, documentation requirements, etc. http://www.dfps.state.tx.us/handbooks/Title_IV-E_County/default.jsp.

**Budget for Title IV-E
County Child Welfare Services Contract**

| B. Training | | | | | | | | | |
|--|---|--|--|---|----------|------------------------|--------------------------------|---|-----------------------------|
| B.2. Title IV-E Fostering Connections Training (75%) | | | | | | | | | |
| County: | | <u>Polk</u> | | | | | | | |
| Agency Account ID: | | <u>24728993</u> | | | | | | | |
| Budget Effective Date: | | <u>10/1/2018-9/30/2019</u> | | | | | | | |
| Training (Description and Title) | Registration* (amount allocable to Title IV-E) | Lodging* (amount allocable to Title IV-E) | Meals* (amount allocable to Title IV-E) | Transportation* (amount allocable to Title IV-E) | Subtotal | Number of Attendees | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 75% FFP) | Anticipated County Match |
| NOTE: Form 9321 Training Expense Documentation Form must be submitted to DFPS for review/approval by Federal Funds prior to training. | | | | | | | | | |
| None at this time. | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| Total Training | | | | | | | \$0.00 | \$0.00 | \$0.00 |

* estimated amount allocable to Title IV-E

**Budget for Title IV-E
County Child Welfare Services Contract**

| B. Training | | | | | | | | | |
|--|---|--|--|---|----------|-------------------------------------|--------------------------------|---|-----------------------------|
| B.3. Non-Title IV-E Training (50%) | | | | | | | | | |
| County: <u>Polk</u> | | | | | | | | | |
| Agency Account ID: <u>24728993</u> | | | | | | | | | |
| Budget Effective Date: <u>10/1/2018-9/30/2019</u> | | | | | | | | | |
| Training (Description and Title) | Registration* (amount allocable to Title IV-E) | Lodging* (amount allocable to Title IV-E) | Meals* (amount allocable to Title IV-E) | Transportation* (amount allocable to Title IV-E) | Subtotal | Number of Employees Attending | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated EPR x 50% FFP) | Anticipated County Match |
| NOTE: Form 9321 Training Expense Documentation Form must be submitted to DFPS for review/approval by Federal Funds prior to training. | | | | | | | | | |
| None at this time. | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| | | | | | \$0.00 | | \$0.00 | \$0.00 | \$0.00 |
| Total Training | | | | | | | \$0.00 | \$0.00 | \$0.00 |

* estimated amount allocable to Title IV-E

**Budget for Title IV-E
County Child Welfare Services Contract**

| C. Supplemental Foster Care Maintenance (SFCM) | | | |
|---|-------------------------------------|---|-------------------------------------|
| | | County: | Polk |
| | | Agency Account ID: | 24728993 |
| | | Budget Effective Date: | 10/1/2018-9/30/2019 |
| Other Costs (description) | Estimated Total Expense* | Anticipated Federal Reimbursement (estimated FMAP) | Anticipated County Match |
| Allowances | \$500.00 | \$284.40 | \$215.60 |
| Clothing | \$500.00 | \$284.40 | \$215.60 |
| Gifts | \$5,000.00 | \$2,844.00 | \$2,156.00 |
| Graduation Expenses | \$600.00 | \$341.28 | \$258.72 |
| Personal Items | \$500.00 | \$284.40 | \$215.60 |
| School Supplies | \$1,000.00 | \$568.80 | \$431.20 |
| Reasonable Child Specific Travel | \$100.00 | \$56.88 | \$43.12 |
| Total Direct Other Costs | \$8,200.00 | \$4,664.16 | \$3,535.84 |

* estimated total cost for Title IV-E related activities

**Budget for Title IV-E
County Child Welfare Services Contract**

Budget Narrative

County: Polk

Agency Account ID: 24728993

Budget Effective Date: 10/1/2018-9/30/2019

Clearly describe each expense to be incurred and billed to this contract. Refer to Title IV-E Finance Handbook for detailed information regarding allowable expenses, documentation requirements, etc.
http://www.dfps.state.tx.us/handbooks/Title_IVE_County/default.asp

A. Administration

A.1. Direct Personnel Salaries

N/A

A.2. Direct Personnel Fringe Benefits

N/A

A.3. Direct Personnel Travel

N/A

A.4. Direct Materials and Supplies

Supplies for recruitment of foster homes, such as ribbons, pamphlets, etc.

Overhead expenses related to conducting Title IV-E related business, such as postage, stationery, banking

A.5. Direct Equipment

N/A

A.6. Direct Other Costs

Citation by Publication as required for eligible youth in foster care.

B. Training

B.1. Title IV-E Training (75%)

N/A

B.2. Title IV-E Fostering Connections Training (75%)

N/A

B.3. Non-Title IV-E Training (50%)

N/A

C. Supplemental Foster Care Maintenance (SFCM)

Expenses paid on behalf of eligible Title IV-E youth in foster care including allowance, clothing, gifts, graduation expenses, personal items, school supplies, and travel for children visiting parents, siblings, relatives, and other caregivers.

D. Indirect Costs (if applicable)

Miller, Lou A. (DFPS)

Budget Approval

From: Lindsey, John M (DFPS)
Sent: Wednesday, August 8, 2018 12:02 PM
To: Miller, Lou A. (DFPS)
Cc: Rasberry, Stanley (DFPS)
Subject: RE: Polk County Proposed FFY19 Child Welfare Services Budget

Lou,

DFPS Budget approves this FY19 contract for Polk County CWS.

*John Lindsey
Prevention and Early Intervention/Title IV-E Budget Analyst
512-438-3356*

- Protecting the Unprotected -

From: Miller, Lou A. (DFPS)
Sent: Tuesday, July 31, 2018 9:46 AM
To: Lindsey, John M (DFPS) <John.Lindsey@dfps.state.tx.us>
Subject: FW: Polk County Proposed FFY19 Child Welfare Services Budget

Mr. Lindsey, Please use this form to review and approve the Polk County Child Welfare Services budget-Form 2030CWIVE. I had sent it in previously on an old form.

Thank you,

Lou Ann Miller
CPS Regional Contract Manager
2027 N Stalling Dr
P O Box 630050
Nacogdoches, Texas 75963-0050
Phone: 936-569-5335
Fax: 512-276-3080

From: Miller, Lou A. (DFPS)
Sent: Wednesday, July 25, 2018 1:41 PM
To: 'davidafrazier@flash.net' <davidafrazier@flash.net>; 'shendix@big sandyisd.net' <shendix@big sandyisd.net>
Cc: 'Kayla Pitts' <pittscrew@yahoo.com>
Subject: Polk County Proposed FFY19 Child Welfare Services Budget

Please review the attached Proposed FFY19 Polk County Child Welfare Services budget. This proposed budget does not require approval/signature by the Judge at this